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4 **Phytoplankton N₂ fixation efficiency and its effect on harmful algal blooms**

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19 **Abstract:** Toxin production during harmful algal blooms (HABs) depends on N availability.
20 However, the role of N₂ fixation as a mechanism to fuel ‘new’ N into HABs and increase their
21 toxicity has not been well studied. We quantified the effects of N:P supply ratios on N₂ fixation
22 efficiency in HABs from 3 warm-temperate man-made reservoirs. We enriched mesocosms with
23 the same concentration of P (112 µg P/L) but differing amounts of N (50–2500 µg N/L) labeled
24 with a ¹⁵N tracer to simulate HABs growing along a large molar N:P gradient (1–50). N₂ fixation
25 increased significantly at low N:P but generally did not alleviate N limitation and lead to
26 accumulating N and phytoplankton biomass efficiently unless the magnitude of stoichiometric
27 imbalance was low. Furthermore, microcystin concentrations >1.0 µg/L occurred only in
28 mesocosms receiving N:P = 50 supply and only in the reservoir with detectable concentrations
29 of microcystin at the beginning of the experiment. These results suggest that HABs in P-rich
30 reservoirs may yield significantly more biomass and potentially become more toxic when
31 reactive N is plentiful in the water column relative to P. Thus, reducing N concentrations can be
32 useful as a supplement to the primary P reduction strategies used to minimize the harmful effects
33 of algal blooms.

34 **Key words:** nitrogen fixation, nutrient limitation, supply ratios, cyanobacteria, HABs

35 The ability of some species of cyanobacteria to fix atmospheric N₂ into biologically
36 reactive forms gives them a competitive advantage over other phytoplankton under N-limiting
37 conditions (Horne and Goldman 1972, Howarth et al. 1988a, Paerl et al. 2001). However, many
38 factors, such as water-column turbulence, temperature, light availability, and micronutrients can
39 constrain phytoplankton N₂ fixation regardless of N-limitation (Howarth et al. 1988b, Paerl
40 1990, Paerl et al. 2001). Perhaps most important, N acquisition via N₂ fixation is a highly
41 energy-intensive process compared to the direct uptake of NH₄⁺ or NO₃⁻ because of the costs of
42 the biochemical reaction (16 ATP/N fixed; Herrero et al. 2004) and because many cyanobacterial
43 taxa must differentiate and maintain heterocytes in which the nitrogenase enzyme can function
44 (Turpin et al. 1985). Therefore, cyanobacteria relying on N₂ fixation as their major source of N
45 may experience decreased yield (per unit P or other growth-limiting resource) relative to the
46 yield of cyanobacteria using NO₃⁻ or NH₄⁺ as their primary N source.

47 Proliferation of cyanobacteria is undesirable in lakes and reservoirs because of their
48 tendency to produce aesthetically displeasing surface scums, odors, and potentially harmful
49 toxins, such as microcystin (Paerl et al. 2001). N cycling plays an important, but complicated and
50 not yet well understood, role in cyanobacterial toxin production. Gobler et al. (2016) recently
51 summarized the mounting evidence suggesting that both cyanobacterial growth and toxin
52 production requires significant N input because toxins themselves contain a large number of
53 amino acids or have amino acid precursors with large N demands. For example, microcystin-LR
54 has 10 N atoms in every molecule resulting in a C to N ratio of 4.2 by mass. Evidence is
55 mounting that cyanobacterial toxicity increases with N availability (Rolland et al. 2005), which
56 is influenced by both concentration and form, as has been demonstrated in cyanobacterial
57 cultures (Long et al. 2001, Harke and Gobler 2013), cyanobacterial blooms in individual lakes

58 (Donald et al. 2011), and across many lakes at continental scales (Scott et al. 2013, Yuan et al.
59 2014). In particular, the production of microcystin by non-N₂-fixing *Microcystis aeruginosa* has
60 been linked directly to N availability across multiple scales of investigation (Horst et al. 2014).

61 What is less obvious is whether N₂-fixing cyanobacterial blooms can become toxic.
62 Beversdorf et al. (2013) demonstrated an indirect link whereby N₂-fixing cyanobacteria blooms
63 were not toxic but were followed seasonally by toxic non-N₂-fixing cyanobacterial blooms after
64 the N concentration of surface waters had increased. van de Waal (2014) suggested that the
65 stoichiometric requirements of cyanobacterial toxin production probably produce a large N
66 demand. The potential lack of toxicity in cyanobacterial populations that are actively fixing N₂
67 suggests the existence of stoichiometric or energetic tradeoffs between assimilated N apportioned
68 for growth and assimilated N apportioned for toxin production. Thus, the efficiency of N₂ fixation
69 in fueling 'new' production during harmful algal blooms (HABs) may be an important constraint
70 on toxin production when N is in short supply relative to P or other growth-limiting resources.

71 N₂-fixation efficiency may be a useful indicator of how much cyanobacteria rely on N₂
72 during bloom formation, but it can be defined in a number of ways. We define gross N₂ fixation
73 as the amount of N accumulated from fixation and N₂ fixation efficiency as the amount of
74 phytoplankton biomass achieved with active N₂ fixation relative to the amount of biomass
75 accumulated from cyanobacteria growth on reactive N sources (i.e., NH₄⁺ or NO₃⁻). For
76 example, consider a hypothetical situation in which lake water was enriched with P and various
77 amounts of N to stimulate algal blooms across a large N:P gradient. The response ratio (RR) of
78 phytoplankton biomass (presented in our study as particulate C [PC]; RR_{PC} = final PC/initial PC)
79 would indicate the efficiency by which fixed N₂ contributed to PC accumulation relative to
80 existing PC. If the phytoplankton were given sufficient time (days to weeks) under ideal

81 conditions for bloom formation and without other constraining factors (e.g., grazing or excessive
82 turbulence), a 0 slope of the RR_{PC} vs N:P would indicate complete N_2 -fixation efficiency.

83 We measured gross N_2 fixation and experimentally tested phytoplankton N_2 -fixation
84 efficiency across a wide range of N:P supply ratios in mesocosms simulating conditions
85 favorable for HABs. We used a mass-balance-constrained ^{15}N stable-isotope-tracer method in
86 mesocosms placed in 3 small, temperate reservoirs to test the degree to which N_2 fixation
87 alleviated N limitation during bloom formation, and quantified microcystin production across the
88 N:P gradient. We hypothesized that phytoplankton biomass would be least in low N:P and
89 greatest at high N:P treatments, and that RR_{PC} (and RR_{TN}) would be positively correlated with
90 experimental N:P because water-column N concentrations (relative to P) would control the
91 biomass of the bloom. We also hypothesized that N_2 -fixation rates and efficiencies would vary
92 among reservoirs because of different initial conditions and that microcystin production would
93 be least in phytoplankton assemblages with greatest N_2 fixation because of a metabolic tradeoff
94 between nitrogenase and toxin synthesis.

95

96 **METHODS**

97 **Study sites**

98 We conducted mesocosm experiments in 3 small ($<0.2 \text{ km}^2$) monomictic reservoirs in the
99 Springfield Plateau region of northwestern Arkansas. Lake Brittany (lat $36^\circ 28' 08'' N$, long
100 $94^\circ 12' 04'' W$), Lake Norwood (lat $36^\circ 28' 45'' N$, long $94^\circ 14' 44'' W$), and Lake Rayburn (lat
101 $36^\circ 27' 43'' N$, long $94^\circ 14' 21'' W$) are all steeply sloped reservoirs with mean depths of 7.6 to 8.8 m
102 and maximum depths of 21.0 to 23.3 m. All 3 reservoirs have similar watershed landuse
103 characteristics, with primarily forested (64–78%) and urban (14–25%) watersheds. We

104 monitored the study reservoirs approximately weekly from May–October 2012. We used a 4-L
105 Van Dorn horizontal sampler to collect vertically integrated water samples from the euphotic
106 zone (typically 0–5 m depth) at 5 locations equally spaced along the thalwegs of each reservoir.
107 The 5 samples were mixed to create a whole-reservoir composite sample and returned to the
108 laboratory on ice.

109 Within 24 h of collection, we filtered a subsample from each composite sample onto a
110 precombusted (4 h at 450°C) GF/F filter (Whatman, Maidstone, UK) for particulate C (PC) and
111 particulate N (PN) and onto an acid-washed GF/F filter for particulate P (PP). We froze the
112 filters for later analysis. Filtrate from filtered samples (GF/F) was retained, frozen, and later
113 analyzed for $\text{NO}_3^- + \text{NO}_2^-$ -N (henceforth NO_3^- -N), total dissolved N (TDN), and total dissolved
114 P (TDP). We preserved a subsample of the composite sample with M3 fixative () for
115 phytoplankton enumeration with the aid of an inverted microscope method (Utermöhl 1958). We
116 identified phytoplankton based on the method outlined by Olrik et al. (1998). We allowed 5 mL
117 of sample to settle in a Utermöhl chamber overnight and identified and enumerated a minimum
118 of 200 cells at 100× magnification. We used taxon-specific geometric formulae recommended by
119 Rott (1981) and Olrik et al. (1998) to calculate phytoplankton biovolumes. Last, we filtered a
120 subsample of the composite sample from each reservoir onto GF/F filters, froze the filters, and
121 then analyzed them for microcystin on 26 July and 17 August 2012.

122

123 **Mesocosm experiment**

124 We conducted a mesocosm experiment from 31 July to 7 September 2012 in each of the 3
125 reservoirs to address how N:P supply ratios affected N_2 fixation, TN and biomass accumulation,
126 and microcystin concentrations, with respect to initial conditions. Mesocosms were white, 166-L

127 polyethylene containers (Brute[®]) that were closed at the bottom and open to the atmosphere. We
128 submerged all mesocosms in each reservoir for 4 wk to allow leaching of any potentially harmful
129 chemicals and cleaned them prior to the experiment. We attached 12 mesocosms to a floating
130 polyvinylchloride frame on 31 July in each reservoir (36 mesocosms total). We filled mesocosms
131 with 130 L of vertically integrated euphotic-zone water by slowly lowering a sump pump
132 through the photic zone. We randomly assigned an N:P (molar) treatment of 1, 10, 25, or 50 to
133 each mesocosm (3 mesocosms per treatment per reservoir). All mesocosms received some level
134 of N and P enrichment so that there was no true control in the experiment. The same amount of P
135 was added to all of mesocosms, and N was manipulated according to the N:P treatment. We
136 added nutrients approximately biweekly, and by the end of the experiment, all mesocosms had
137 received a total of 112 $\mu\text{g P/L}$ as NaH_2PO_4 and, depending on the N:P treatment, either 50, 500,
138 1250, or 2500 $\mu\text{g N/L}$ as KNO_3 enriched with ^{15}N ($\delta^{15}\text{N} = 962\text{‰}$) so that we could distinguish it
139 from N supplied by N_2 fixation, which is isotopically light ($\sim 0\text{‰}$). Upon addition of nutrients,
140 we stirred mesocosms thoroughly to allow distribution of N and P throughout the water column.

141 We collected seston samples just before the first nutrient addition (day 0) and on the last
142 day of the experiment (day 37) for biological and chemical analyses. We collected initial
143 samples from mesocosm source water for each reservoir. We collected a final sample from each
144 mesocosm after homogenizing the contents of the mesocosm, including material accumulated at
145 bottom and loosely attached periphyton that may have grown on the sides during the experiment.
146 Samples were collected in acid-washed dark bottles, stored on ice, taken back to the laboratory,
147 and filtered within 48 h. Upon returning to the laboratory, each mesocosm sample was filtered as
148 described previously for PC, PN, and PP, with an additional subsample filtered onto a Pall tissue
149 quartz filter for particulate $\delta^{15}\text{N}$ analysis. In addition, we combined water samples from each

150 mesocosm for each treatment and filtered a subsample onto a GF/F filter. We froze filters for
151 later analysis for microcystin. We retained the filtrate, froze it, and later analyzed it for NO_3^- -N,
152 TDN, and TDP.

153

154 **Laboratory analyses**

155 We thawed and dried frozen filters for 24 h (50°C) and analyzed them for PC and PN on
156 a Thermo Flash 2000 Organic Elemental Analyzer (Thermo Fisher Scientific, , Netherlands). We
157 digested PP filters in a persulfate solution and analyzed them colorimetrically based on the
158 ascorbic acid method (APHA 2005). We analyzes filtrate for TDN and TDP simultaneously on a
159 Skalar San Plus () following a persulfate digestion (APHA 2005). We analyzed filtrate
160 subsamples from routine monitoring for NO_3^- -N colorimetrically using the Cd-reduction method
161 (APHA 2005). We conducted PP and NO_3^- -N colorimetric analyses on a Trilogy Lab
162 Fluorometer (Turner Designs, ,) with a spectrophotometer adaptor containing 800- and 600-nm
163 filters, respectively. We quantified microcystin in particulate matter with enzyme-linked
164 immunosorbent assay (ELISA; An and Carmichael 1994) after extraction from filters with
165 acidified 75% aqueous methanol. All ^{15}N filters were freeze-dried and then analyzed at the
166 University of Arkansas Stable Isotope Laboratory using a Finnigan Delta Plus mass spectrometer
167 () following combustion in a Carlo Erba NC2500 elemental analyzer (connected via a Finnigan
168 Conflo II interface;). The $^{15}\text{N}/^{14}\text{N}$ ratio was expressed in δ notation relative to air (Peterson and
169 Fry 1987).

170

171 **Calculations and statistical analyses**

172 We used an approach similar to that of Vrede et al. (2009) to calculate N_2 fixation in the

173 mesocosms based on the ^{15}N stable isotope. The $\delta^{15}\text{N}$ of the final sample ($\delta^{15}\text{N}_{\text{final}}$) was defined
 174 by a multisource mixing model as:

$$175 \quad \delta^{15}\text{N}_{\text{final}} = (\delta^{15}\text{N}_{\text{initial}} \times f_{\text{initial}}) + (\delta^{15}\text{N}_{\text{added}} \times f_{\text{added}}) + (\delta^{15}\text{N}_{\text{fix}} \times f_{\text{fix}}) \quad (\text{Eq. 1})$$

176 where $\delta^{15}\text{N}_{\text{initial}}$, $\delta^{15}\text{N}_{\text{added}}$, and $\delta^{15}\text{N}_{\text{fix}}$ are the isotopic signatures of seston at the beginning of the
 177 experiment, ^{15}N -enriched fertilizer (962‰), and N_2 gas from the atmosphere (0‰), respectively.

178 The variable f_{initial} , f_{added} , and f_{fix} are the fractional contributions of initial seston N, N fertilizer,
 179 and fixed N to the final N concentration in each mesocosm. The sum of f_{initial} , f_{added} , and f_{fix} was
 180 assumed to equal 1. The isotopic composition of PN and TDN were assumed to be equal and that
 181 no fractionation occurred during cycling of N from the particulate to dissolved pool.

182 A 3-source mixing model (Eq. 1) cannot have a single solution because it has 3 unknown
 183 variables that cannot be solved with simultaneous equations. Therefore, we used IsoSource
 184 (version 1.3; US Environmental Protection Agency, Western Ecology Division, Corvallis,
 185 Oregon) to calculate a range of solutions for f_{fix} following the procedure developed by Phillips
 186 and Gregg (2003). IsoSource is a software package used to calculate a range of feasible source
 187 combinations that satisfy a mixing model with >1 unknown variable. The user supplies all
 188 known $\delta^{15}\text{N}$ values (mixture and sources), a source increment that determines the interval of
 189 source-combination iterations, and a mass-balance tolerance that defines how similar a predicted
 190 mixture $\delta^{15}\text{N}$ has to be to the actual mixture signature. We used a source increment of 1% and a
 191 mass-balance tolerance equal to the average within-treatment standard deviation (SD) for
 192 IsoSource computations. TN data were used to constrain the range of values calculated to only
 193 those solutions that satisfied the mass-balance equation described as:

$$194 \quad \text{TN}_{\text{final}} / \text{TN}_{\text{initial}} = (f_{\text{initial}} + f_{\text{added}} + f_{\text{fix}}) / f_{\text{initial}} \quad (\text{Eq. 2})$$

195 where TN_{final} is the mass of TN of the final sample and $\text{TN}_{\text{initial}}$ is the mass of TN present at the

196 beginning of the experiment. For each treatment in each reservoir, we used the mean and SD of
197 the TN_{final} values to estimate a 95% confidence interval (CI) by generating 1000 random values
198 (normal distribution; SigmaPlot 11;). We calculated the $TN_{\text{final}}:TN_{\text{initial}}$ ratio for each of the
199 randomly generated TN_{final} values based on the TN_{initial} measured for each reservoir. The upper
200 and lower limits of the range of $TN_{\text{final}}:TN_{\text{initial}}$ values were defined as the 97.5th and 2.5th
201 percentiles of the distribution. We used these upper and lower limits to constrain the solutions
202 generated using IsoSource after calculating the F ratio (right-hand term) in Eq. 2. The minimum,
203 median, and maximum amount of total fixed N (TN_{fix}) was calculated as the product of the 5th,
204 50th, and 95th percentiles of f_{fix} and the final TN concentrations of each mesocosm.

205 We derived N_{fix} from TN_{fix} values calculated from the median value of f_{fix} and expressed
206 as $\mu\text{g N L}^{-1} \text{ h}^{-1}$. Each N_{fix} value was considered to represent the average N_2 fixation rate for that
207 mesocosm over the course of the experiment (assuming a photoperiod of 13 h for each day of the
208 experiment). We conducted ordinary least squares (OLS) regression analyses in SAS (version
209 9.1; SAS Institute, Cary, North Carolina) to estimate the effect of N:P supply on $\delta^{15}\text{N}$, f_{fix}
210 (median), N_{fix} , and RR_{TN} and RR_{PC} . RRs were the final concentration divided by the initial
211 concentration in each mesocosm (accounting for volume loss throughout the course of the
212 experiment). We used RRs to estimate gross N_2 fixation (RR_{TN}) and the efficiency of N_2 fixation
213 (RR_{PC}) across N:P supply in each reservoir because a slope > 0 indicates that added reactive N
214 yielded more biomass than N_2 fixation. Therefore, any slopes in OLS regressions for RR_{PC} that
215 were significant ($p < 0.05$) were considered to indicate that N_2 fixation was not efficient in terms
216 of biomass accumulation, respectively, for that reservoir.

217 To test for effects of initial conditions, we compared the slopes between each variable
218 ($\delta^{15}\text{N}$, f_{fix} , N_{fix} , RR_{TN} , and RR_{PC}) vs N:P supply among reservoirs and subsequently tested for

219 differences in y -intercepts with analysis of covariance (ANCOVA) in cases where slopes were
220 equal among reservoirs. We used generalized linear models (GLMs) in Systat (version 13.1;) to
221 compare the slopes of the N:P and each variable among reservoirs by including the N:P \times
222 reservoir interaction term. In cases where the slopes were not statistically different among
223 reservoirs ($p > 0.05$ for N:P \times reservoir for a given variable), we reran the GLM model without
224 the interaction term to generate the ANCOVA.

225

226 **RESULTS**

227 **Reservoir conditions**

228 Lakes Brittany, Norwood, and Rayburn are mesotrophic to slightly eutrophic reservoirs
229 with average TN and TP ranging from 435 to 699 $\mu\text{g N/L}$ and 15 to 35 $\mu\text{g P/L}$, respectively (Fig.
230 1A, B) and do not typically experience HABs. Norwood and Rayburn had similar nutrient
231 concentrations, which were generally greater than in Brittany. However, molar TN:TP was
232 similar across reservoirs (average across reservoirs: 44.7 to 65.1; Fig. 1C). A clear trend in NO_3^- -
233 N drawdown was observed in all 3 reservoirs as summer thermal stratification progressed. The
234 date on which NO_3^- -N was reduced below the detection limit (16.5 $\mu\text{g N/L}$) differed
235 substantially among reservoirs. Norwood experienced drawdown ~ 1 mo after Brittany and
236 Rayburn (Fig. 1D). An apparent distinction in PC (used here as a proxy for phytoplankton
237 biomass) concentrations between Brittany and the other reservoirs indicated that Brittany was
238 less productive and possibly more nutrient limited than Norwood or Rayburn (Fig. 1E).

239 Both Rayburn and Brittany had measurable concentrations of microcystin on the 2 dates
240 it was analyzed (before and in the middle of the mesocosm experiments; Fig. 1F). The
241 concentrations on both dates in each reservoir were below the World Health Organization's

242 (WHO) provisional guideline value of 1 $\mu\text{g/L}$, although microcystin was higher in Rayburn (0.70
243 $\mu\text{g/L}$) than in Brittany (0.01 $\mu\text{g/L}$) just before the mesocosm experiments began in late July (Fig.
244 1F). Each reservoir experienced an increase in N_2 -fixing and non- N_2 -fixing cyanobacteria from
245 late May to late June following NO_3^- -N drawdown (Fig. 2A–C). These taxa subsequently
246 decreased in Brittany and Rayburn, and another relative increase in N_2 -fixing cyanobacteria
247 biomass was observed in Brittany in September. Norwood experienced cyanobacteria dominance
248 (with N_2 -fixers accounting for nearly 70% of total phytoplankton biomass) sustained late into the
249 growing period following NO_3^- -N drawdown (Fig. 2B).

250

251 **Mesocosm experiment**

252 The $\delta^{15}\text{N}_{\text{initial}}$ of seston varied across reservoirs, ranging from 0.33‰ in Norwood to
253 9.66‰ in Brittany (Table 1). A strong, positive linear trend between $\delta^{15}\text{N}_{\text{final}}$ (seston $\delta^{15}\text{N}$ from
254 day 37 of mesocosm experiment) and N:P supply was observed in each reservoir (Table 2, Fig.
255 3A), but this relationship was not significantly different among reservoirs (Table 3). The median
256 f_{fix} values generated by IsoSource ranged from 0.08 to 0.77 across all treatments and reservoirs
257 (Fig. 3B). A significant trend of decreasing f_{fix} as N:P supply increased was observed in each
258 reservoir (Table 2). The slope of f_{fix} vs N:P supply did not differ among reservoirs, but the
259 ANCOVA results indicated that the intercepts were significantly different (Table 3). This result
260 indicated that fixed N made up more of TN_{final} at low N:P supply in Brittany than in Norwood
261 and Rayburn (Fig. 3B). Furthermore, N_{fix} ranged from 0.61 to 4.31 $\mu\text{g N L}^{-1} \text{ h}^{-1}$ throughout the
262 experiment, with the highest rates occurring in the lowest N:P supply treatment in Brittany and
263 Rayburn (Fig. 4). Slopes of N_{fix} vs N:P supply regressions did not differ among reservoirs.
264 However, the intercept for the N_{fix} regression was significantly lower in Norwood than in

265 Brittany and Rayburn, which were not different from each another (Table 3). Thus, N_{fix} were
266 lower in Norwood than in Brittany and Rayburn, especially across low N:P (Fig. 4).

267 RR_{TN} increased with N:P supply in all reservoirs (Fig. 5A), and the slope of this
268 regression was significantly > 0 in each reservoir (Table 2). The RR_{TN} increase/unit N:P supply
269 was significantly greater in Brittany than in Norwood and Rayburn, which were not different
270 from each other (Table 3). Likewise, RR_{PC} increased significantly with N:P supply, but the
271 increase was statistically significant only in Brittany and Norwood (Table 2). RR_{PC} increased
272 with N:P supply significantly more in Brittany than in Norwood and Rayburn (Table 3). Mean
273 RR_{PC} increased ~66% from the 1 to 50 N:P supply in Norwood, and an increase of 127% on
274 average was observed in Brittany from 1 to 50 N:P (Fig. 5B).

275 Microcystin was detected in each treatment across all reservoirs (Fig. 6). However,
276 concentrations greater than the WHO's provisional guideline value of 1 $\mu\text{g/L}$ occurred only in
277 Rayburn at the highest N:P supply treatment, where they exceeded the guideline by nearly 3 \times
278 (Fig. 6). Microcystin concentrations in the highest N:P supply treatment in Rayburn were 4 to 6 \times
279 greater than ambient reservoir concentrations measured just before the start of the mesocosm
280 experiment in late July and in mid-August, respectively.

281

282 **DISCUSSION**

283 N_2 fixation rates were strongly related to the experimental N:P conditions across the 37-d
284 mesocosm experiments in all 3 reservoirs, but N_2 fixation was not fully efficient. RR_{TN} was
285 positively correlated with experimental N:P in all reservoirs, a result indicating that gross N_2
286 fixation was never great enough to match the N accumulation that occurred when cyanobacteria
287 used reactive N from the water column. We held grazing pressure by planktivorous fish and

288 hydrologic advection to minima in the mesocosms, so the energetic requirements of N₂ fixation
289 alone may have prohibited N₂ fixation from meeting potential N demands. RR_{PC} also was
290 positively correlated with experimental N:P Lakes Brittany and Norwood. These findings
291 support the idea that N₂ fixation may not effectively balance the N pool of HABs over short time
292 scales and that lower N availability reduced the phytoplankton biomass yield (Scott and
293 McCarthy 2010). However, RR_{PC} was not related to experimental N:P in Lake Rayburn, a result
294 suggesting that the phytoplankton biomass yield was not affected by N availability. Instead, the
295 biomass of the blooms in Lake Rayburn were statistically equivalent regardless of the N source.
296 This finding supports the notion that N₂ fixation can supply sufficient N, relative to P, for
297 phytoplankton to achieve maximum biomass yield (Schindler 2012). N₂ fixation was least
298 efficient in fueling HABs (in both RR_{TN} and RR_{PC}) in the least eutrophic reservoir (Lake
299 Brittany) and vice versa. The more eutrophic reservoirs had greater total N concentrations and
300 more phytoplankton biomass in the beginning of the experiments, which may have led to more
301 rapid light-limitation of phytoplankton blooms in mesocosms than in the mesotrophic reservoir
302 even though the nutrient additions were identical. Thus, the magnitude of stoichiometric
303 imbalance, which in our study was controlled by the experimental nutrient additions and the
304 initial conditions, probably exerts a strong control on N₂ fixation rates and efficiency during
305 HAB events.

306

307 **Importance of N₂ fixation across the N:P supply gradient**

308 N₂ fixation contributed to TN accumulation across all treatments in the simulated blooms
309 in each reservoir despite the relatively high energetic costs (Turpin et al. 1985). However, the
310 magnitude and importance of N₂ fixation was significantly affected by N:P supply. Fixed N

311 made up a maximum of 77% of the TN pool at the end of the experiment when N:P supply was 1
312 and a minimum of 8% when N:P supply was 50, with a median of 37% across all treatments in
313 all reservoirs (Fig. 3B). In a similar mesocosm experiment, Vrede et al. (2009) found that fixed
314 N composed nearly 50% of the PN pool at ~32 N:P supply after 21 d. The average contribution
315 of fixed N in our mesocosms enriched with 25 N:P in the current study was ~28% less than what
316 was found at similar N:P by Vrede et al. (2009). However, the mesocosms in the 25 N:P supply
317 treatment received >2× as much NO₃⁻ as those in the experiment conducted by Vrede et al.
318 (2009) because we chose larger dosing rates to simulate intense algal bloom events. Thus, lower
319 f_{fix} values would be expected in our experiment, even after a longer period of time (37 vs 21 d).

320 The N₂ fixation rates measured in our study ranged from 0.61 to 4.31 μg N L⁻¹ h⁻¹, which
321 were comparable to those observed in various other studies. N₂ fixation rates in several Texas
322 reservoirs ranged from 0 to 11.7 μg N L⁻¹ h⁻¹ (Forbes et al. 2008, Scott et al. 2008, 2009) and
323 similar ranges were observed in Arkansas reservoirs nearby our study locations (Scott and
324 Grantz 2013, Grantz et al. 2014). Vrede et al. (2009) observed an average rate of 3.62 μg N L⁻¹
325 h⁻¹ (assuming a 13-h photoperiod each day) across a 21-d period in mesocosms treated with P
326 only. Beversdorf et al. (2013) measured rates ranging from 0 to 4.65 μg N L⁻¹ h⁻¹ in Lake
327 Mendota during summer 2010 and 2011. As expected, N₂ fixation was significantly stimulated as
328 N:P decreased in mesocosms across all 3 of our study reservoirs (Fig. 4), and the response of N₂
329 fixation to N:P was similar regardless of initial conditions. The occurrence of N₂ fixation at 50
330 N:P was not unexpected because our mesocosms were a closed system where even excessive
331 dissolved nutrients were exhausted.

332

333 **N₂ fixation efficiency, initial conditions, and ecosystem dynamics**

334 Despite substantially increased N₂ fixation rates at low N:P, RR_{TN}, and RR_{PC} increased
335 with increasing inorganic N availability (Fig. 5A, B), indicating that phytoplankton blooms
336 relying heavily on N₂ fixation accumulated less N and produced less biomass than those relying
337 on reactive N from the water column. These results are contradictory to those found in the study
338 by Vrede et al. (2009), in which N₂ fixation effectively alleviated N deficiency over a 21-d
339 period in a temperate, eutrophic lake in Sweden. However, phytoplankton biomass (as PC) did
340 not increase in Lake Rayburn even though TN accumulation with increased as N:P increased
341 (Table 2). Thus, the phytoplankton species dominating assemblages at low N:P treatments in
342 Rayburn were evidently more efficient than those dominating assemblages at higher N:P when
343 generating biomass per unit N supplied via N₂ fixation. This finding indicated that conditions at
344 the onset of bloom formation can affect N₂-fixation efficiency.

345 The increase in RR_{TN} and RR_{PC} across the N:P supply gradient was significantly greater
346 in Brittany than in Norwood and Rayburn. Lake Brittany had the lowest initial TN and PC
347 concentrations, so RR_{TN} and RR_{PC} values were expected to exceed those in the other reservoirs.
348 The slopes of the RR_{TN} or RR_{PC} vs N:P supply regressions were not necessarily expected to be
349 greater in Brittany because as the initial TP concentration was lower and TN:TP was higher than
350 in Norwood and Rayburn (Table 1) and indicated P-limited conditions (Guildford and Hecky
351 2000). However, initial biomass N:P (29) was considerably less than initial TN:TP (95) in
352 Brittany, a result suggesting that potentially recalcitrant dissolved fractions in Lake Brittany had
353 a high initial N:P that skewed the magnitude of stoichiometric imbalance. As a result, N may
354 have been limiting growth in Brittany initially because of a relatively refractory initial TDN pool
355 and low DIN (NO₃⁻-N < 16.5 µg/L). Inaccessibility of TDN as a source of N was corroborated
356 by phytoplankton identification and enumeration data in Brittany, which indicated that N₂-fixing

357 cyanobacteria made up ~25% of the natural phytoplankton assemblage near the beginning of the
358 experiment (Fig. 2A). These results suggest that initial TN:TP ratios were not entirely useful for
359 predicting the response to N and P enrichment because TDN probably was not immediately
360 available to phytoplankton (Bronk et al. 2007).

361

362 **N₂ fixation and microcystin production during blooms**

363 Microcystin concentrations greater than the WHO impairment standard (1 µg/L) were
364 detectable only in the 50 N:P treatment in Rayburn (Fig. 6). Lake Rayburn had the highest
365 ambient concentration of microcystin near the beginning of the experiment (0.701 µg/L; Fig.
366 1F), indicating significant microcystin production in Rayburn at the beginning of the mesocosm
367 experiment. Thus, initial conditions of the experiment may have excluded potential microcystin
368 producers from the mesocosms in Brittany and Norwood (Sarnelle 2007). The relative increase
369 in microcystin concentrations after nutrient enrichment with high N (and P) only in Rayburn
370 highlights the potential importance of N₂ fixation as a tradeoff to toxin production, which is
371 consistent with analyses of large-scale databases (Scott et al. 2013, Yuan et al. 2014). Our
372 microcystin results were generated with enzyme-linked immunosorbent assay and should be
373 interpreted with caution, but the findings are consistent with those reported in recent literature.
374 Other investigators have shown that saxitoxins were greatest in field experiments with high but
375 not low N:P (Chislock et al. 2014) and that microcystin production decreased with increasing P
376 inputs that decreased N:P (Horst et al. 2014). These patterns are interesting, but more research is
377 needed to understand how species interactions between N₂-fixers and non-N₂-fixers during
378 bloom development and senescence may lead to differential toxicity among blooms (Beverdorsdorf
379 et al. 2013).

380

381 **Study implications**

382 Our results indicate that N₂ fixation may not alleviate N limitation effectively in HAB
383 events driven by high P concentrations. N₂ fixation contributed large amounts of N to
384 mesocosms with high P supply and an imbalance of N in 3 small, temperate, man-made
385 reservoirs, but phytoplankton accumulated more N and produced more biomass when more
386 reactive N was available in the water column. This response was reservoir-dependent, with
387 phytoplankton biomass seemingly decoupled from N in the Lake Rayburn experiment. These
388 contrasting results highlight the importance of biological and chemical conditions unique to
389 individual reservoirs, which in the case of our study, strongly influenced the initial conditions of
390 the mesocosm experiments. An ongoing debate exists within the scientific literature regarding
391 whether N plays a significant role in controlling primary productivity in lakes over long time
392 scales (Elser et al. 2007, Lewis and Wurtsbaugh 2008). This debate has been extended to
393 whether N, in combination with P, should be managed to reduce the harmful effects of
394 accelerated eutrophication (Schindler et al. 2008, Conley et al. 2009). N can degrade water
395 quality via accelerated eutrophication in some lakes (Finlay et al. 2010). However, the evidence
396 supporting the N mitigation as a tool to reduce the harmful effects of eutrophication in lakes is
397 limited, but increasing (Paerl et al. 2016). Our results show that cyanobacterial biomass yield
398 increases in blooms where inorganic N is more readily available than P in the water column.
399 Thus, from the perspective of short-term bloom management, lower N concentrations may
400 reduce the frequency and magnitude of toxic bloom formation.

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524 **FIGURE CAPTIONS**

525 Fig. 1. Total N (TN) (A), total P (TP) (B), molar TN:TP (C), NO_3^- -N (D), particulate C (PC) (E),
 526 and microcystin (F) in Lakes Brittany, Norwood, and Rayburn in May–October 2012 (33
 527 sampling dates). The y-axis in panel F is scaled to match that in Fig. 6. The vertical
 528 dashed line represents the beginning of the mesocosm experiment on 31 July 2012.
 529 Microcystin was below detection on both sampling dates in Norwood.

530 Fig. 2. Relative phytoplankton biomass in Lakes Brittany (A), Norwood (B), and Rayburn (C) on
 531 6 sampling dates in May–September 2012. Taxonomic groups were identified based on
 532 keys published by Olrik et al. (1998), but cyanobacteria (cy.) were split into 2 categories:
 533 N_2 -fixing and non- N_2 -fixing cyanobacteria based on identified species' capability for
 534 carrying out N_2 fixation. Vertical dashed line represents the beginning of the mesocosm
 535 experiment on 31 July 2012.

536 Fig. 3. Ordinary least squares regressions for mean (\pm SD, $n = 3$) stable-isotope ($\delta^{15}\text{N}$) (A) and
 537 median fraction of total N composed of fixed N (f_{fix}) data vs N:P supply by reservoir for
 538 samples collected at the end of the mesocosm experiment ($n = 12/\text{reservoir}$). See Table 2
 539 for results of regression analyses. In panel B, black dots represent the range of feasible
 540 values calculated using IsoSource and a multimixing stable-isotope model constrained by
 541 mass balance.

542 Fig. 4. Ordinary least-squares regression for mean (\pm SD) treatment N_2 -fixation rates (N_{fix}) as a
 543 function of molar total N (TN):total P (TP) supply accounting for volume loss and
 544 assuming a 13-h photoperiod each day during the experiment in each reservoir ($n = 12$).
 545 See Table 2 for results of regression analyses. Black dots represent mean N_2 -fixation rate
 546 minima and maxima calculated from the range of f_{fix} values generated using IsoSource.

547 Fig. 5. Ordinary least-squares regression for mean (\pm SD) treatment response ratios (RR = the
548 ratio of final to initial concentration) as a function of molar total N (TN:total P (TP) for
549 TN (RR_{TN}) (A) and particulate C (RR_{PC}) (B) in each reservoir. OLS regression analyses
550 were conducted for each RR by reservoir, with $p < 0.05$ indicating a slope significantly
551 different than 0. See Table 2 for results of regression analyses.

552 Fig. 6. Microcystin measured from a subsample collected from a treatment composite sample
553 (samples from all 3 mesocosms from that treatment combined) in each reservoir.
554 Horizontal dashed line represents the World Health Organization's provisional guideline
555 of 1 $\mu\text{g/L}$, above which concentrations are considered unsafe for human health.

556 Table 1. Mean (\pm SD) conditions of each reservoir the week that the experiment started (initial)
 557 and final conditions in mesocosms supplied with nutrients in molar total N (TN):total P (TP) = 1,
 558 10, 25, and 50 in 3 reservoirs. $\delta^{15}\text{N}$ = isotopic composition of seston, PC is particulate C, and Chl
 559 *a* is chlorophyll *a*. Initial values were.

Reservoir/condition	$\delta^{15}\text{N}$ (‰)	TN (mg/L)	TP (mg/L)	TN:TP	PC (mg/L)	Chl <i>a</i> ($\mu\text{g/L}$)
Brittany						
Initial	9.66	0.38	0.009	93.5	0.64	9.5
1	24.6 \pm 3.4	2.78 \pm 0.53	0.14 \pm 0.02	43.4 \pm 2.7	25.9 \pm 5.9	63.9 \pm 42.7
10	197 \pm 78.0	3.15 \pm 0.92	0.17 \pm 0.03	39.8 \pm 5.0	31.1 \pm 3.4	66.1 \pm 12.7
25	498 \pm 31.7	3.13 \pm 0.59	0.18 \pm 0.04	38.6 \pm 5.3	38.2 \pm 6.5	105 \pm 32.4
50	697 \pm 7.7	4.51 \pm 0.52	0.22 \pm 0.06	47.6 \pm 8.1	49.3 \pm 7.7	703 \pm 189
Norwood						
Initial	0.33	0.64	0.025	56.6	2.13	27.6
1	29.5 \pm 16.0	2.51 \pm 0.71	0.14 \pm 0.03	40.0 \pm 7.1	19.9 \pm 5.6	45.0 \pm 20.2
10	217 \pm 33.3	2.58 \pm 0.27	0.17 \pm 0.03	34.4 \pm 2.2	28.0 \pm 5.6	64.2 \pm 27.2
25	449 \pm 56.2	2.84 \pm 0.08	0.14 \pm 0.02	45.8 \pm 6.0	27.8 \pm 2.8	60.3 \pm 42.3
50	636 \pm 9.1	3.54 \pm 0.72	0.15 \pm 0.04	52.0 \pm 2.8	33.5 \pm 7.9	193 \pm 100.4
Rayburn						
Initial	1.81	0.79	0.020	87.5	1.81	20.4
1	11.0 \pm 2.0	3.31 \pm 0.44	0.13 \pm 0.01	58.5 \pm 6.9	26.4 \pm 1.2	147 \pm 43.8
10	163 \pm 25.7	3.05 \pm 0.49	0.16 \pm 0.04	42.5 \pm 5.8	31.6 \pm 4.6	147 \pm 55.6
25	413 \pm 36.8	3.10 \pm 0.28	0.16 \pm 0.03	42.9 \pm 6.2	32.3 \pm 6.4	124 \pm 39.5
50	597 \pm 22.1	4.04 \pm 0.49	0.16 \pm 0.04	51.0 \pm 7.4	32.5 \pm 4.0	244 \pm 146.3

560

561 Table 2. Results from ordinary least squares linear regression analyses for median fraction of
 562 total N composed of fixed N (f_{fix}), the N₂-fixation rate (N_{fix}), the response ratio of total N (RR_{TN}),
 563 and the response ratio of particulate C (RR_{PC}) vs N:P supply for each reservoir ($n = 12$). Slopes
 564 (m) were considered significantly different from 0 when $p < 0.05$.

Variable (by reservoir)	m	y_0	F	p	r^2
$\delta^{15}\text{N}$ (‰)					
Brittany	13.6	60.3	138	<0.001	0.93
Norwood	12.1	73.7	145	<0.001	0.93
Rayburn	11.9	40.6	185	<0.001	0.95
f_{fix}					
Brittany	-0.012	0.70	69.6	<0.001	0.87
Norwood	-0.009	0.51	182	<0.001	0.95
Rayburn	-0.010	0.60	106	<0.001	0.91
N_{fix} ($\mu\text{g L}^{-1} \text{h}^{-1}$)					
Brittany	-0.048	3.88	13.2	0.005	0.57
Norwood	-0.041	2.60	29.9	<0.001	0.75
Rayburn	-0.060	3.75	37.2	<0.001	0.79
RR_{TN}					
Brittany	0.108	4.82	18.1	0.002	0.64
Norwood	0.033	2.78	10.1	0.010	0.50
Rayburn	0.028	2.7	12.4	0.006	0.55
RR_{PC}					
Brittany	0.776	29.0	29.1	<0.001	0.74
Norwood	0.096	8.75	8.78	0.014	0.47
Rayburn	0.045	10.1	2.97	0.116	0.23

565

566 Table 3. Slope comparisons (N:P \times reservoir interaction term) from 2-way analyses of variance
 567 (ANOVA) and analysis of covariance (ANCOVA) in cases where slopes were not different
 568 among reservoirs. Slopes and intercepts were considered significantly different among reservoirs
 569 when $p < 0.05$. See Table 2 for abbreviations.

Variable	N:P		Reservoir		N:P \times reservoir	
	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>
Variable vs experimental N:P (2-way ANOVA with interaction)						
$\delta^{15}\text{N}$ (‰)	454	<0.001	0.331	0.721	0.937	0.403
f_{fix}	281	<0.001	9.67	0.001	1.70	0.200
N_{fix}	68.0	<0.001	5.72	0.008	0.869	0.429
RR_{TN}	35.0	<0.001	6.62	0.004	7.422	0.002
RR_{PC}	37.5	<0.001	21.2	<0.001	22.3	< 0.001
Variable vs. exp. N:P (2-way ANOVA without interaction where applicable)						
$\delta^{15}\text{N}$ (‰)	455	<0.001	2.46	<0.001	–	–
f_{fix}	269	<0.001	10.1	<0.001	–	–
N_{fix}	68.6	<0.001	9.08	<0.001	–	–

570

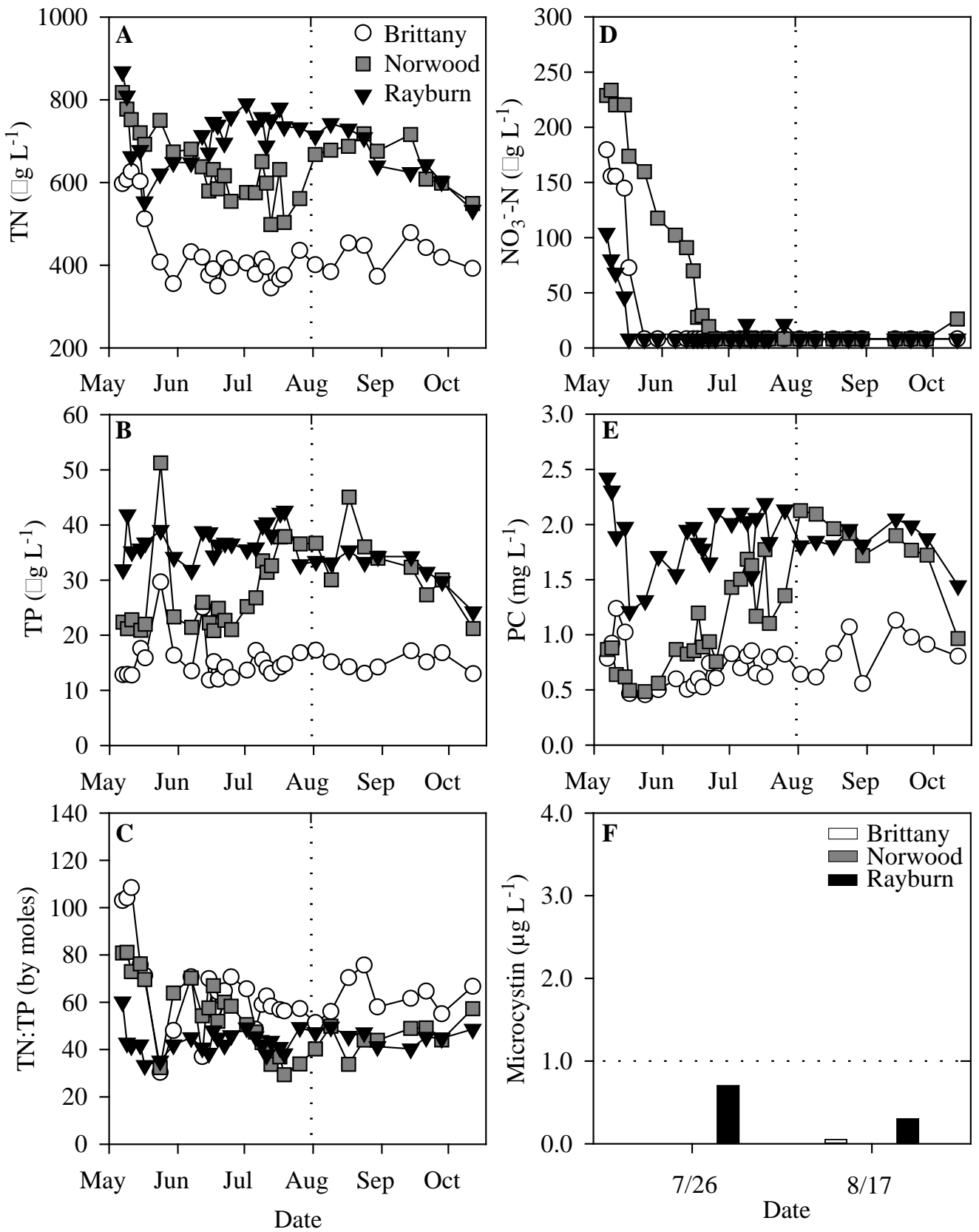


Figure 1

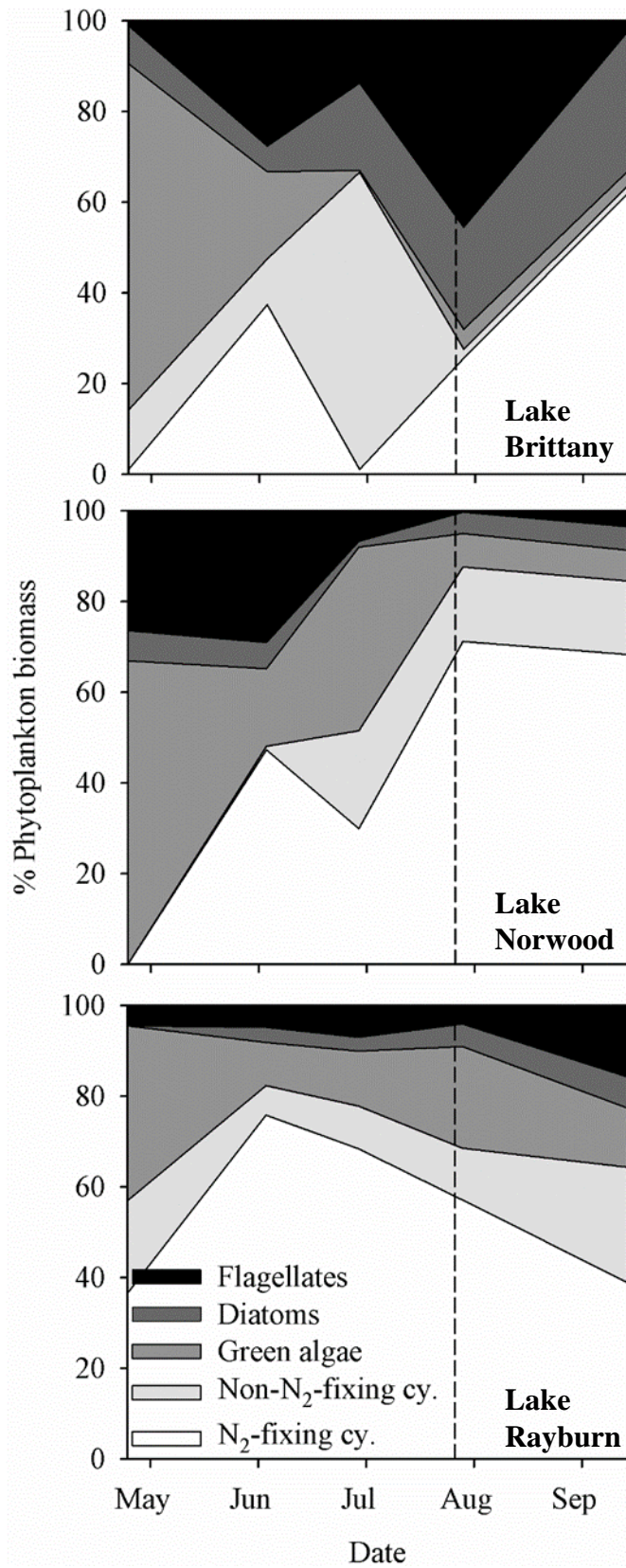


Figure 2

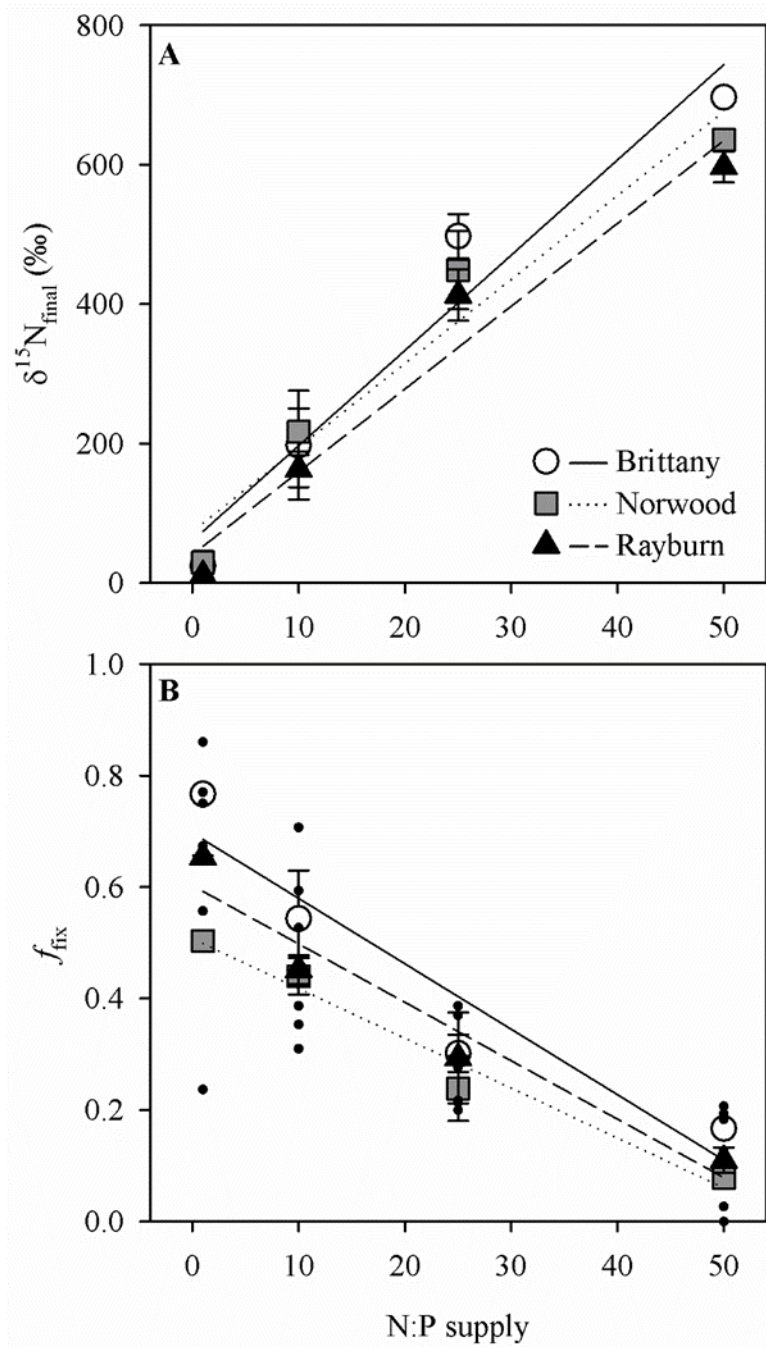


Figure 3

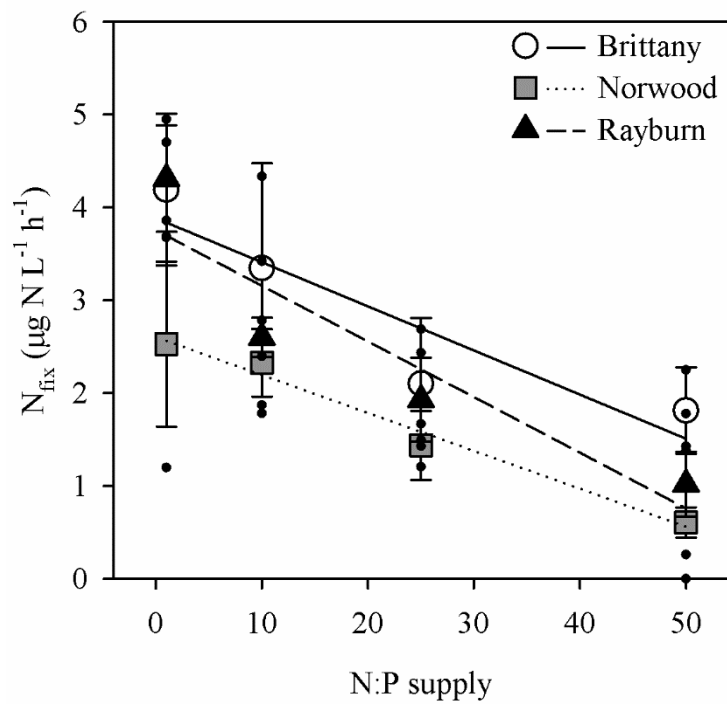


Figure 4

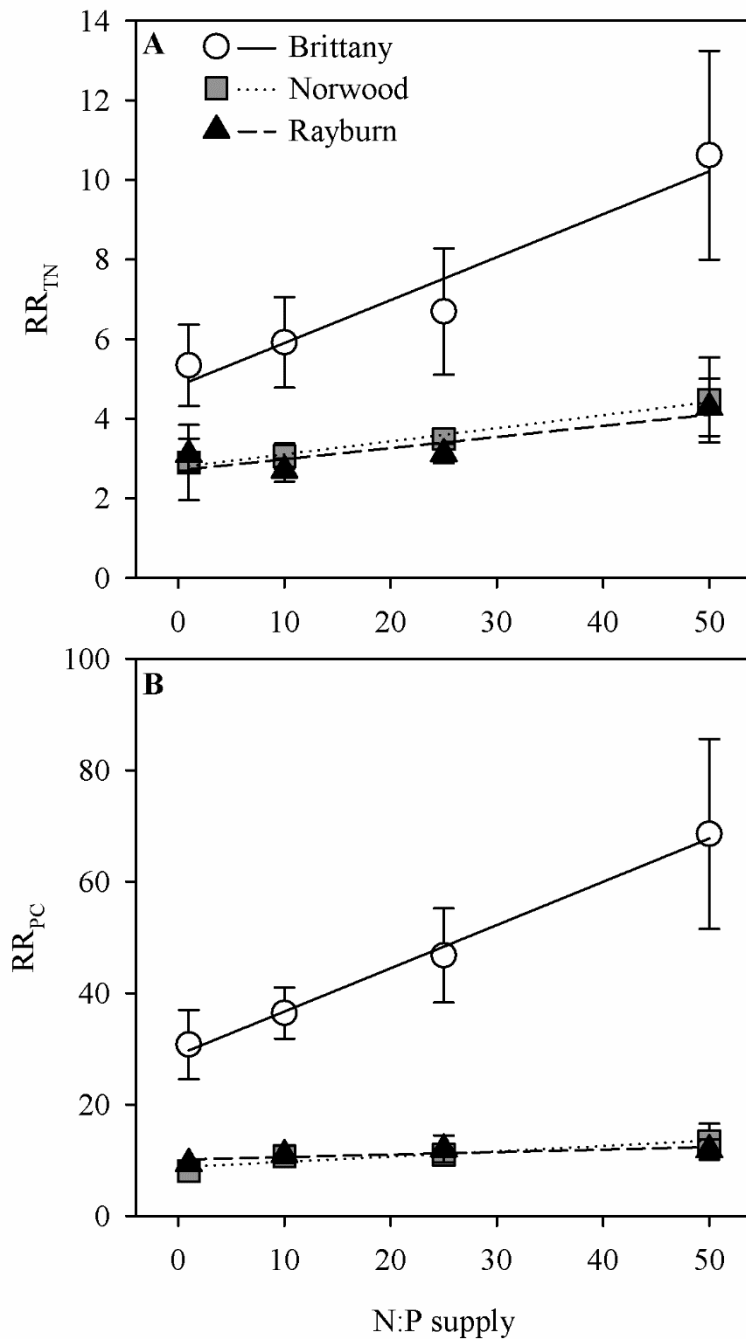


Figure 5

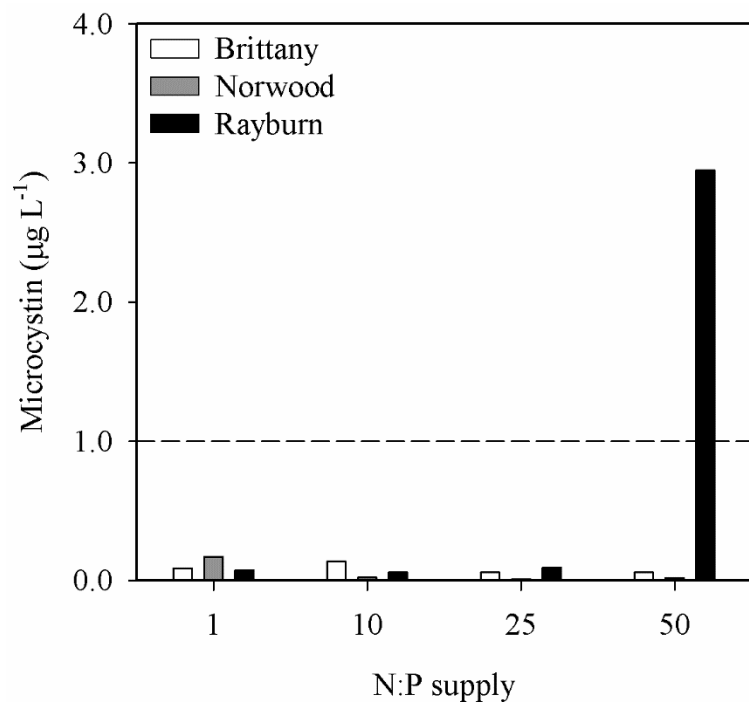


Figure 6